REMARKS

Applicant respectfully requests consideration of the subject application. This Response is submitted in response to the Office Action mailed August 17, 2009. Claims 1-17, 19 and 20 are pending. Claims 1-17, 19 and 20 are rejected. No new matter has been added.

Declaration Under 37 C.F.R. § 1.132

1. The Examiner stated that the Declaration made by Daniel Fossner signed 5/28/2009 and filed 5/29/2009 is ineffective to overcome Chamberlain (U.S Patent Publication No.: 2003/208369, hereinafter "Chamberlain") as prior art and is ineffective to overcome the previous rejections relying on Chamberlain for a number of reasons.

The Examiner states that a Declaration under 37 C.F.R. § 1.131 to swear behind a reference must normally be signed by each Applicant. There are exceptions as provided by MPEP 715.04, yet there is no evidence or statements as to why less than all inventors have signed as being provided.

Applicant sent a Declaration under 37 C.F.R. § 1.131 via Federal Express to the last known address of the second named inventor of the present application, Mr. Peter Shapiro, on June 17, 2010. The Declaration was undeliverable because Mr. Peter Shapiro no longer resides at the last known address. Applicant submits Exhibit A which includes a copy of the Federal Express bill of lading, proof of shipment and proof of undeliverability.

The Examiner has stated that it is argued that page 1 of Exhibit 1 shows conception on or before 8/31/2000. However, the document itself is clearly more recent

because there are newer versions listed and because said page 1 also includes a "last modified" date of 9/12/2000. Further, the document "One-Click Details" forming part of Exhibit 2 is dated 9/14/2000 - also after the effective date of Chamberlain.

MPEP 715.07 II. ESTABLISHMENT OF DATES: "If the dates of the exhibits have been removed or blocked off, the matter of dates can be taken care of in the body of the oath or declaration."

The declaration therefore requires the evidence required by the Examiner. The Exhibit merely serves as supporting evidence of the declaration. In the declaration, in paragraph 5, the statement has been made that the date of invention is prior to the date of Chamberlain. The date of the document is not relevant; what is relevant is the date of invention.

MPEP 715.07 I GENERAL REQUIREMENTS: "However, when reviewing a <u>37 CFR 1.131</u> affidavit or declaration, the examiner must consider all of the evidence presented in its entirety, including the affidavits or declarations and all accompanying exhibits, records and "notes." An accompanying exhibit need not support all claimed limitations, provided that any missing limitation is supported by the declaration itself. *Ex parte Ovshinsky*, 10 USPQ2d 1075 (Bd. Pat. App. & Inter. 1989)."

Applicant, accordingly, respectfully requests withdrawal of this objection based on the date of the Exhibit.

The Examiner states that there is no explanation regarding which portions of the evidence relates to each and every claim feature of all claims.

Firstly, MPEP 715.07, under I GENERAL REQUIREMENTS quoted above specifically states that an accompanying exhibit need not support all claims limitations, provided that any missing limitation is supported by the declaration itself. In the declaration, paragraph 6, a detailed comparison has been given as to how claim 1 relates to the Exhibit to the declaration. Any elements that may be missing from the Exhibit are

supported by the declaration itself. Furthermore, the figure on page 3 of the Exhibit is in material substance identical to Figure 3 of the present application. Because the claim is based on Figure 3 of the present application, and Figure 3 of the present application is in material substance identical to the figure on page 3 of the Exhibit, the claim should therefore also be supported by the figure on page 3 of the Exhibit. Applicant therefore submits that each and every element of claim 1 is supported by the figure on page 3 of the Exhibit. Applicant has proven that each and every element of claim 1 is supported by the Exhibit, although there is no requirement for Applicant to prove that each and every element is supported by the Exhibit.

Furthermore, the Examiner's contention that the evidence should be provided for all the claims is not supported by any rule or law. What is required is prior invention.

The invention is represented in independent claim 1. If independent claim 1 has a date of invention prior to the reference then each and every claim depending from claim 1 also has a date of invention prior to the reference. Applicant therefore also requests withdrawal of this rejection because it is not supported by any rule or law and it is contrary to established patent practice.

Applicant therefore requests withdrawal of the rejection that there is no explanation regarding which portions of this evidence relates to each and every claimed feature of all claims.

2. The Examiner states that the evidence submitted is insufficient to establish a conception of the invention prior to the effective date of the Chamberlain reference.

Applicant submits that the reasons given above are sufficient to overcome this rejection and respectfully requests withdrawal thereof.

- 3. The Examiner states that there is no evidence of diligence between 9/8/2000 and 9/19/2000. 9/8/2000 was on a Friday and 9/19/2000 was on a Tuesday, such that there were a total of only six business days between the two dates. Six business days is a very short period of time. Clearly the Examiner cannot expect that diligence be demonstrated for every single day. Applicant submits that the diligence demonstrated on 9/19/2000 is sufficient to demonstrate diligence for the entire six working days between 9/8/2000 and 9/19/2000. As the Examiner will recognize it can take weeks and months to prepare and file a patent application.
- 4. Applicant states that Daniel Fossner is not an inventor.

35 U.S.C. §§ 102 and 103 Rejections

Rejections of claims 1-10, 12, 17, 19, 20 under 35 U.S.C. § 102(e) as being anticipated by or, in the alternative, 35 U.S.C. § 103(a) as obvious over Chamberlain, (U.S Patent Publication No.: 2003/208369, hereinafter "Chamberlain").

The Examiner has rejected claims 1-10, 12, 17, 19, 20 under 35 U.S.C. § 102(e) as being anticipated by or, in the alternative, 35 U.S.C. § 103(a) as obvious over Chamberlain.

Applicant has submitted herewith a declaration by F. William Daugherty under 37 C.F.R. 1.131. Specifically, the declaration states that the date of invention of the present patent application is before the effective date of Chamberlain. The declaration also states that the Applicant was diligent from the effective date of Chamberlain until the

constructive reduction to practice by filing a provisional patent application. Applicant respectfully requests that the Examiner accepts the declaration into evidence to remove the Chamberlain reference as prior art.

Applicant, accordingly, respectfully requests withdrawal of the rejections of claims 1-10, 12, 17, 19, 20 under 35 U.S.C. § 102(e) as being anticipated by or, in the alternative, 35 U.S.C. § 103(a) as obvious over Chamberlain.

Rejections of claims 11 and 13-16 under 35 U.S.C. § 103(a) as being unpatentable over Chamberlain in view of Patterson, (U.S Patent Publication No.: 2003/0028608, hereinafter "Patterson").

The Examiner has rejected claims 11 and 13-16 under 35 U.S.C. § 103(a) as being unpatentable over Chamberlain in view of Patterson, (U.S Patent Publication No.: 2003/0028608, hereinafter "Patterson").

Chamberlain does not constitute prior art, as discussed under the previous heading. In addition, claims 11, 13-16 depend from independent claim 1 and should therefore be allowable for at least the same reasons as claim 1.

Applicant, accordingly, respectfully requests withdrawal of the rejections of claims 11, 13-16 under 35 U.S.C. § 103(a) as being unpatentable over Chamberlain in view of Patterson.

Rejections of claims 1-10, 12, 17, 19, 20 under 35 U.S.C. § 103(a) as being unpatentable over Strandberg, (U.S Patent No.: 6,333,243, hereinafter "Strandberg").

The Examiner has rejected claims 1-10, 12, 17, 19, 20 under 35 U.S.C. § 103(a) as being unpatentable over Strandberg. Applicant submits that these claims are patentable over Strandberg.

Strandberg Not Relevant

The claims rejected by the Examiner are related to advertisement that are served on a World Wide Web site and user selectable options for further information.

Strandberg, by contrast, does not appear to related to advertisements. For example, column 5, lines 7 to 15, referred to by the Examiner, state as follows:

"One example of the information provider server 114 includes a computer, generating data to create a Web-page. The second data server 114 transmits the data across the datapath 116, such as the Internet-World Wide Web to the first data server 112 and to the data terminal 10. The data terminal 10 responds to the data sent by the data server 114 and displays a user interface based on this data. This data is typically a Hyper

Text Markup Language (HTML) file that is interpreted by the data terminal 10."

What the above section relates to is nothing more than regular Internet communication utilizing HTML.

The Examiner has also referred to column 5, lines 24-31, which state as follows:

"The data entry fields are designed to elicit responses to requests for information from the inquiring parties, as is well known in the art. Such requests can include the inquiring party's name, telephone number, address, account information, the information the inquiring party desires, inquiries into particular products or services, or any other information useful for sales and/or marketing purposes."

This section appears to relate to regular Internet standard for fillable fields. This section therefore thus does not relate to advertisments and user selectable options for providing associated information.

In Strandberg, column 3, lines 28-36 state as follows:

"The present invention features an electronic chat session distribution system 100, FIG. 1, for providing a real-time electronic chat session between an inquiring party and at least one agent at the request of the inquiring party, who enters an electronic chat session request at a data terminal 10. The data terminal 10 which is located at a first location, such

as a user's residence, place of business, or public location (e.g., a mall), is used for entering and transmitting data over a first data path 14." What this section states is that Strandberg relates to chat sessions. Chat sessions

such as provided by Internet Relay Chat (IRC) (See column 2, lines 22-23) requires different communication techniques than the present invention. Applicant therefore submits, with respect, that Strandberg's chat sessions are not relevant for the present invention that relates to serving of an advertisement and receiving a user selection for further information, and that one of ordinary skill in the art would not look to Strandberg for purposes of the invention as claimed.

In summary, therefore, Stranberg is not relevant for an obviousness determination of the present invention because the Examiner has not established that Strandberg relates to anything more than HTML Internet communication, data entry and chat session communications.

Strandberg fails to disclose at least one element of claim 1

The Examiner states as follows:

"Each of the plural fillable fields of Strandberg's interface is taken to represent a "selectable" option"

It appears that the Examiner is equating the entry of data in a field by a user in Strandberg to selection of an option for information delivery. Strandberg does not disclose an extra step of collecting user information. As such, Strandberg discloses either selection of an option or collection of user information, not both.

Referring to Figure 3 of the present application, it can be seen that a user selection is received following the 12 and, as a separate process, user information is received at 332. The personalized email sent at 352 utilizes the user information received at 332 and is due to selection made by the user at 312.

Claim 1 now includes two separate operations, one for receiving user information associated with a selectable option, and another for receiving an indication of a user selection of one of a plurality of selectable options. There are thus two processes in claim 1. Claim 1 thus includes at least one limitation that is not suggested by Strandberg. (It should be noted that a method claim is not limited to the sequence of steps claimed, absent evidence to the contrary)

Claim 1 can therefore not be obvious in view of Strandberg because Strandberg fails to disclose or suggest at least one process in claim 1. Claims 2-10, 12 depend from claim 1 and should be allowable for at least the same reasons as claim 1. Claim 17 has been amended to have limitations that are similar to the limitations of claim 1. Claim 19 and 20 depend from claim 17 and should be allowable for at least the same reasons as claim 17.

Impermissible Hindsight

In 1989, whilst working at CERN, Tim Berners-Lee invented a network-based implementation of the hypertext concept. A potential turning point for the World Wide Web began with the introduction of the Mosaic web browser in 1993, a graphic browser developed by a team at the National Center for Supercomputing Applications at the University of Illinois at Urbana Campaign, led by Marc Andreessen. As noted in the attached declaration of Daniel Fossner, the present invention was conceived on or before August 31, 2000, roughly seven years after the introduction of the Mosaic web browser. The Strandberg reference was first cited in an Office Action on August 23, 2007, roughly an additional seven years after the date of invention. Therefore, no Examiner at the United States Patent and Trademark Office believed that one of ordinary skill in the art would rely on the Strandberg reference to render the present invention for a time period

that was an additional 100% the life of the graphic Internet as measured from the introduction of the Mosaic web browser up to the date of invention. The Examining Division's own reluctance to rely on Strandberg for an extended period of time thus serves as evidence that the present invention is not obvious over Strandberg. The Examiner's reliance on Strandberg on August 23, 2007 is excessively based on twenty-twenty hindsight and is based on the Examiner's knowledge of the state of the art in 2007.

The Examiner's position that the present invention is obvious over Strandberg has grown stronger in recent years up to the Office Action dated January 12, 2009. It therefore appears that the Examiner is relying even more heavily on twenty-twenty hindsight in the Examiner's belief that the invention is obvious as time goes by.

35 U.S.C. § 103(a) states as follows:

"...though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made." (Emphasis added)

Applicant submits that the Examiner is relying on impermissible hindsight in the

Examiner's belief that the invention is obvious because the Examiner is relying on the Examiner's own knowledge at the date of an Office Action instead of the time that the invention was made, and that the Examiner's position is being impermissibly exacerbated as time goes by. Applicant submits that the Examiner's rejections of the claims based on Strandberg are improper.

For these reasons, applicant respectfully requests withdrawal of the rejections of

claims 1-10, 12, 17, 19, 20 under 35 U.S.C. § 103(a) as being unpatentable over

Strandberg.

The Examiner also rejected claims 11, 13-16 under 35 U.S.C. § 103(a) as being

unpatentable over Stranberg in view of Patterson. These claims depend from claim 1

and should be allowable for at least the same reasons as claim 1. Applicant, accordingly,

respectfully requests withdrawal of the rejections of claims 11, 13-16 under 35 U.S.C. §

103(a) as being unpatentable over Strandberg.

Applicants respectfully submit that the present application is in condition for

allowance. If the Examiner believes a telephone conference would expedite or assist in

the allowance of the present application, the Examiner is invited to call Stephen M. De

Klerk at (650) 798-0342.

Please charge any shortages and credit any overages to Deposit Account No. 19-

3140. Any necessary extension of time for response not already requested is hereby

requested. Please charge any corresponding fee to Deposit Account No. 19-3140.

Respectfully submitted,

SONNENSCHEIN NATH & ROSENTHAL LLP

Date:

June 18, 2010

<u>/Stephen M. De Klerk/</u>

Stephen M. De Klerk

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EXHIBIT A

From: Origin ID: PAOA (650)798-0300 Stephen DeKlerk

Sonnenschein Nath & Rosenthal LLP

1530 Page Mill Road Suite 200 Palo Alto, CA 94304



BILL SENDER

Ship Date: 17JUN10 ActWgt: 1 LB CAD: 5076539/WBUS0200

Delivery Address Bar Code



Ref# 12000097-000

Invoice # PO # Dept #

SHIP TO: (650)798-0363

Mr. Peter Shapiro

54 Riverside Dr Apt 10B

New York, NY 100246552

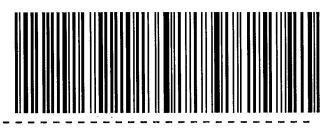


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FOLD on this line and place in shipping pouch with bar code and delivery address visible

- 1. Fold the first printed page in half and use as the shipping label.
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Bates, Ariana C.

From: TrackingUpdates@fedex.com
Sent: Thursday, June 17, 2010 3:48 PM

To: Bates, Ariana C.

Subject: FedEx Shipment Notification

This tracking update has been requested by:

Company Name: Sonnenschein Nath & Rosenthal

LLP

Name: Stephen DeKlerk

E-mail:

Stephen DeKlerk of Sonnenschein Nath & Rosenthal LLP sent Mr. Peter Shapiro 1 FedEx Priority Overnight package(s).

This shipment is scheduled to be sent on 06/17/2010.

Reference information includes:

Reference: 12000097-0006.4SK Special handling/Services: Residential Delivery

Direct Signature Required

Deliver Weekday

Status: Shipment information sent to FedEx

Tracking number: 790707940764

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In transit
Initiated Picked up In transit Delivered

Delivery exception BRONX, NY

Shipment Dates Destination

Notifications

Ship date ② Jun 17, 2010 New York, NY

Shipment Facts

Service type Priority Envelope - Direct Signature Reference 12000097-0006.4SK

Required © Weight 0.5 lbs/.2 kg

Shipment Travel History

Select time zone: Local Scan Time

All shipment travel activity is displayed in local time for the location

Date/Time	Activity	Location	Details
Jun 18, 2010 11:27 AM	Delivery exception	BRONX, NY	Incorrect address - Reci moved
Jun 18, 2010 8:41 AM	On FedEx vehicle for delivery	BRONX, NY	
Jun 18, 2010 8:00 AM	At local FedEx facility	l FedEx facility BRONX, NY	
Jun 18, 2010 5:58 AM	Arrived at FedEx location	FedEx location NEWARK, NJ	
Jun 18, 2010 2:54 AM	Departed FedEx location	MEMPHIS, TN	
Jun 17, 2010 5:54 PM	Left FedEx origin facility	MENLO PARK, CA	
Jun 17, 2010 4:01 PM	Picked up	MENLO PARK, CA	
Jun 17, 2010 5:35 PM	Shipment information sent to FedEx		
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